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I hereby certify that I have caused the documents indicated below to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on the date indicated above and are addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, and mailed on the above Date of Mailing with the above "Express Mail" mailing label number

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MAIL STOP PATENT APPLICATION

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P.O. Box 1450

Alexandria, VA 22313-1450

DOCKET NO. 1629.122

DATE: March 19, 2004

Sir:

SMALL ENTITY

In re:

Applicant: Russell A. Warnecke and Kenneth R. Fasen

Title: **COLOR CHANGING APPARATUS, AND ASSOCIATED METHOD, FOR A LIGHT ASSEMBLY**

Enclosed are:

- ☒ Patent application (20 pages);
- ☒ Five (5) sheets of informal drawing(s);
- ☒ Declaration and Power of Attorney;
- ☒ Recordation Form Cover Sheet;
- ☒ Assignment; and
- ☒ Postcard receipt

No filing fee is submitted at this time, but has been calculated as shown below:

| For (Small Entity) | Number Filed | Number Extra | Rate | Fee |
|----------------------|--------------|-------------------|-----------|----------|
| Basic Fee | | | | \$385.00 |
| Total Claims | 23-20 | 3 in excess of 20 | x \$9 = | 27.00 |
| Indep. Claims | 2-3 | 0 in excess of 3 | x \$43 = | |
| Multiple Dep. Claims | | | x \$145 = | 0.00 |
| TOTAL | | | | \$412.00 |

Respectfully submitted,

By: Robert H. Kelly
Robert H. Kelly, Reg. No. 33,922

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